

14230 U.S. PTO

Docket No. 212/507

Transmittal of Application

03945 U.S.P.T.O.
10/680995

10/07/03

To: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313

Sir: Enclosed for filing please find the following patent application
Inventors: Larry A. Spiegel
Title: Improved Retaining Ring For Wafer Carriers

Enclosed please also find the following papers:

<input checked="" type="checkbox"/>	15	pages Specification;	4	pages claims;	1	pages abstract	Serial Number: _____
<input checked="" type="checkbox"/>	3	sheets drawings	(<input type="checkbox"/> informal <input checked="" type="checkbox"/> formal)	Filing Date <u>October 7, 2003</u>			
<input type="checkbox"/>	Declaration and Power of Attorney (<input type="checkbox"/> combined <input type="checkbox"/> separate)						
<input checked="" type="checkbox"/>	The Invention is assigned to: Strasbaugh						
<input checked="" type="checkbox"/>	Claiming Small Entity Status						
<input type="checkbox"/>	Form PTO-1533						
<input type="checkbox"/>	Petition for Grant of Filing Date and Declaration in Support of Petition for Grant of Filing Date						
<input type="checkbox"/>	Preliminary Amendment						
<input type="checkbox"/>	Petition for Extension of Time						
<input checked="" type="checkbox"/>	Other: <u>Information Disclosure Statement</u>						

The filing fee has been calculated as shown below:

Basic Filing Fee				\$770
Total Claims	17	18		\$0
Independent Claims	2	86		\$0
Multiple Dependent Claims	<input type="checkbox"/>	290		\$0
Surcharge 37 CFR 1.16(E)	<input type="checkbox"/>			\$0
Total Calculations				\$770
Reduction for Small Entity			<input checked="" type="checkbox"/> Applicant claims small entity status.	\$385
Assignment (\$40)	<input type="checkbox"/>			\$0
TOTAL FEES				\$385

- Check No. _____ in the amount of \$385 to cover the above fees is enclosed.
- The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: October 7, 2003

By: Theodore D. Fay III

Theodore D. Fay III, Esq., Reg. No. 48,504

Crockett & Crockett
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171
Laguna Hills, CA 92653 Fax (949) 588-6172

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313, Mail Stop Patent Application.

Express Mail Label EV331240565US

Date: October 7, 2003

Kristen Truong